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## A novel caching scheme for improving Internet-based mobile ad hoc networks performance <sup>☆</sup>

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### 8 Abstract

9 Internet-based mobile ad hoc network (IMANET) is an emerging technique that combines a wired network (e.g. Inter-  
10 net) and a mobile ad hoc network (MANET) for developing a ubiquitous communication infrastructure. To fulfill users'  
11 demand to access various kinds of information, however, an IMANET has several limitations such as limited accessibility  
12 to the wired Internet, insufficient wireless bandwidth, and longer message latency. In this paper, we address the issues  
13 involved in information search and access in IMANETS. An *aggregate caching* mechanism and a broadcast-based *Simple*  
14 *Search (SS) algorithm* are proposed for improving the information accessibility and reducing average communication  
15 latency in IMANETS. As a part of the aggregate cache, a cache admission control policy and a cache replacement policy,  
16 called *Time and Distance Sensitive (TDS) replacement*, are developed to reduce the cache miss ratio and improve the  
17 information accessibility. We evaluate the impact of caching, cache management, and the number of access points that  
18 are connected to the Internet, through extensive simulation. The simulation results indicate that the proposed aggregate  
19 caching mechanism can significantly improve an IMANET performance in terms of throughput and average number of  
20 hops to access data items.

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22 *Keywords:* Aggregate cache; Cache admission control; Cache replacement algorithm; Internet-based mobile ad hoc network; Simple  
23 search algorithm

24

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### 1. Introduction

26 Over the past decade, Internet has changed our  
27 daily life. With the recent advent in wireless tech-  
28 nology and mobile devices, ubiquitous communi-  
29 cation is touted to change our life further. It is  
30 envisaged that in the near future, users will be able

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31 to access the Internet services and information any-  
 32 time and anywhere. To realize this vision, wireless  
 33 carriers are developing state-of-the-art wireless  
 34 communication infrastructures. Nevertheless, a  
 35 mobile terminal (MT)<sup>1</sup> may still have difficulty  
 36 to connect to a wired network or Internet due to  
 37 limited wireless bandwidth and accessibility. Under  
 38 heavy traffic, an MT has to content for bandwidth  
 39 and may get blocked from a wireless base station.  
 40 Moreover, in some geographically remote areas,  
 41 an infrastructure may not be even available. Thus,  
 42 researchers are exploring an alternative technol-  
 43 ogy, called *Mobile Ad Hoc Network* (MANET), for  
 44 its low cost and ease of deployment.

45 A significant volume of research on MANETS  
 46 has appeared in the literature in the past few years  
 47 [6,9,10,14-17,19]. Most of these efforts, however,  
 48 have focused on developing routing protocols to  
 49 increase connectivity among MTs in a constantly  
 50 varying topology. Due to the users' growing inter-  
 51 est and falling cost in accessing the wireless Inter-  
 52 net, it has become imperative to consider the  
 53 integration of MANET with the wired Internet.  
 54 Thus, to put the MANET technology into the con-  
 55 text of real life, we consider an *Internet-based*  
 56 MANET, called IMANET [2], and investigate the  
 57 problem of information search and access under  
 58 this environment. Under IMANET, we assume that  
 59 some of the MTs are connected to the Internet or  
 60 wired private networks.<sup>2</sup> Thus, an MT may access  
 61 Internet information via a direct connection or via  
 62 relays from other MTs. Although there may exist  
 63 many potential applications, to the best of our  
 64 knowledge, none of the previous work has ad-  
 65 dressed the issues for information search and ac-  
 66 cess in IMANETS. The followings are some of the  
 67 applicable scenarios for an IMANET:

- 68 • *Scenario 1:* During special events such as Olym-  
 69 pic games or World Cup Soccer, the demand  
 70 from users to access the Internet and communi-

cate among themselves are exceedingly high. 71  
 While a fixed infrastructure may be in place, it 72  
 is challenging to accommodate all the users 73  
 due to limited wireless bandwidth. With an 74  
 IMANET, users can either access the required 75  
 information directly or indirectly (through 76  
 relays). Moreover, they can communicate 77  
 among themselves without going through a 78  
 wired infrastructure. 79

- *Scenario 2:* A visitor in a downtown, museum, 80  
 or shopping mall may need to access various 81  
 type of information (e.g. exhibition info, tour 82  
 info including maps, restaurants of choice, 83  
 hotels, theaters, and so on). A local service pro- 84  
 vider usually provides an electronic guide such 85  
 as an info-station [22] that contains the relevant 86  
 information. Although a visitor may lose con- 87  
 nection to the info-station because of mobility, 88  
 he/she can still access or share the information 89  
 through relays using IMANET. 90
- *Scenario 3:* In a battle field or emergency site, 91  
 one MT may be connected to the Internet by 92  
 a satellite and serve as a proxy for other MTs. 93  
 The accessed information and services can be 94  
 shared by the other MTs via local ad hoc 95  
 communication. 96

97 An IMANET has several constraints. First, not  
 98 all the MTs can access the Internet. Second, due  
 99 to mobility, a set of MTs can be separated from  
 100 the rest of the MTs and get disconnected from  
 101 the Internet. Finally, an MT requiring multi-hop  
 102 relay to access the Internet may incur a longer ac-  
 103 cess latency than those which have direct access to  
 104 the Internet. 105

106 To address these constraints, we propose an  
 107 *aggregate caching* mechanism for IMANETS. The  
 108 basic idea is that by storing data items in the local  
 109 cache of the MTs, members of the IMANET can  
 110 efficiently access the required information. Thus,  
 111 the aggregated local cache of the MTs can be con-  
 112 sidered as an unified large cache for the IMANET.  
 113 The proposed aggregate cache can alleviate the  
 114 constraints of IMANETS discussed above. When  
 115 an MT is blocked from direct access to the Inter-  
 116 net, it may access the requested data items from  
 117 the local cache of nearby MTs or via relays. If  
 118 an MT is isolated from the Internet, it can search

<sup>1</sup> In this paper, we use the term mobile terminal (MT) to refer to a portable device (e.g. a laptop computer, a personal digital assistance (PDA), a mobile phone, a handheld computer, etc) or a person who carries it.

<sup>2</sup> Without loss of generality, we use Internet to refer to both of Internet and wired private network for the rest of paper.

119 other reachable MTs for the requested data item.  
 120 Finally, if an MT is located further from the Inter-  
 121 net, it may request the data items from other clo-  
 122 seby MTs to reduce access latency.

123 Here, two issues are addressed for implementa-  
 124 tion of an aggregate caching mechanism in  
 125 IMANETS:

- 126 • *Efficient search*: An efficient information search  
 127 algorithm is fundamental for locating the  
 128 requested data in IMANETS.
- 129 • *Cache management*: To reduce the average  
 130 access latency as well as enhance the data acces-  
 131 sibility, efficient cache admission control and  
 132 replacement policies are critical. The cache  
 133 admission control policy determines whether a  
 134 data item should be cached, while the cache  
 135 replacement policy intelligently selects a victim  
 136 data item to be replaced when a cache becomes  
 137 full.

138 Information search in an IMANET is different  
 139 from the search engine-based approach used in  
 140 the wired Internet. An MT needs to broadcast its  
 141 request to the possible data sources (including  
 142 the Internet and other MTs within the IMANET)  
 143 in order to retrieve the requested data efficiently.  
 144 Thus, we propose a broadcast-based approach,  
 145 called *Simple Search (SS)* algorithm, which can  
 146 be implemented on the top of existing routing pro-  
 147 tocols to locate the requested data. In addition, we  
 148 propose a cache admission control policy based on  
 149 the distance between MTs to reduce redundant  
 150 data caching, and a cache replacement policy  
 151 based on time and distance, called *Time and Dis-*  
 152 *tance Sensitive (TDS)* replacement, to reduce the  
 153 cache miss ratio and increase the accessibility of  
 154 the aggregate cache.

156 We conduct a simulation-based performance  
 157 evaluation to observe the impact of caching, cache  
 158 management, and access points (APs) (which are  
 159 directly connected to the Internet) upon the effec-  
 160 tiveness of IMANETS. The overall results show that  
 161 the proposed methodology can relieve limitations  
 162 of IMANETS and improve system performance  
 163 significantly.

164 This paper reports our initial study of Informa-  
 165 tion search and access on IMANETS. The aggregate

cache idea is simple and can be used in practice to  
 enhance the communication performance of IMA-  
 NETS. Focusing on the constraints of the IMANET  
 such as accessibility and latency, our contribution  
 is threefold:

- A simple search algorithm is developed to facil-  
 itate information search and access in an  
 IMANET.
- An aggregate cache for IMANETS is proposed to  
 address the issues of accessibility and latency.
- A distance-based admission control policy and  
 three cache replacement policies (TDS\_D,  
 TDS\_T, and TDS\_N) are proposed as a part  
 of the aggregate caching scheme. These policies  
 are capable of providing better performance  
 than the well known LRU replacement policy.

The rest of this paper is organized as follows.  
 Work related to the research is reviewed in Section  
 2. The system model and simple search algorithm,  
 and the aggregate cache management mechanism  
 are presented in Sections 3 and 4, respectively. Sec-  
 tion 5 is devoted to performance evaluation and  
 comparisons of various policies. Finally, we con-  
 clude the paper with future directions in Section 6.

## 2. Related work

Research on MANET has mainly focused on  
 developing routing protocols such as Destina-  
 tion-Sequenced Distance Vector (DSDV) [16],  
 Dynamic Source Routing (DSR) [10], Ad hoc On  
 Demand Distance Vector (AODV) [17], Tempo-  
 rally-Ordered Routing Algorithm (TORA) [15],  
 and their variations. These algorithms assume that  
 a sender MT knows the location of receiver MT  
 based on the route information, which is accumu-  
 lated and analyzed by a route discovery or route  
 maintenance algorithm. Although a route discov-  
 ery operation captures the current network topol-  
 ogy and related information, it has to be executed  
 whenever an MT needs to transmit a data item. To  
 avoid repetitive route discovery, the MTs can  
 cache the previous route information. Hu et al.  
 [9] compared the performance of two caching  
 strategies based on the DSR routing protocol: a

210 path cache and a link cache. In the path cache, a  
211 complete path from a source to the destination is  
212 stored. In the link cache, a group of paths, which  
213 are collected from previous route discovery or  
214 other operations, is constructed to generate a  
215 graph style data structure. In our work, instead  
216 of addressing the issue of route discovery and its  
217 caching, we emphasize on efficient information  
218 search and data caching to enhance data acces-  
219 sibility.

220 Caching is an important technique to enhance  
221 the performance of wired or wireless network. A  
222 number of studies has been conducted to reduce  
223 the Web traffic and overall network congestion  
224 by deploying various caching schemes in the Inter-  
225 net [3,4,21]. A cooperative caching scheme is sug-  
226 gested in [3], in which a couple of individual  
227 caches are treated as a unified cache and they inter-  
228 act among themselves to eliminate the duplicate  
229 copies, and increase cache utilization. Fan et al.  
230 [4] proposed a summary cache, where proxies  
231 share their summary of cache contents represented  
232 by bloom filters. When a proxy has a cache miss  
233 for a request, it sends the request to other proxies  
234 based on a periodically updated summary of cache  
235 contents in other proxies. A proxy cache reloca-  
236 tion scheme is proposed based on the prediction  
237 of user's mobility to reduce delay during a handoff,  
238 a mechanism of transferring an on-going call from  
239 the current cell to the next cell to which a user  
240 moves, in a cellular network [5]. However, no such  
241 work has been conducted in a MANET, in which a  
242 network topology frequently changes.

243 Ren et al. [18] employed a semantic caching  
244 scheme to manage location-dependent data (e.g.  
245 weather, traffic, and hotel information), in which  
246 an MT maintains semantic description of data in  
247 a mobile environment. When an MT needs to gen-  
248 erate a query, it processes the query, analyzes the  
249 descriptions, and finds out results (or partial re-  
250 sults) from the appropriate cache. Based on the re-  
251 sults, the MT tailors or reduces the query and  
252 requests the server to get the rest of results to re-  
253 duce communication. In contrast to the traditional  
254 cache replacement policies, the Furthest Away  
255 Replacement (FAR) is used in this study. With this  
256 policy, a victim is selected such that it is not on the

257 way in which the MT might move, but is located  
258 far away from the current location of the MT.

259 In particular in MANETS, it is important to  
260 cache frequently accessed data not only to reduce  
261 the average latency, but also to save wireless band-  
262 width in a mobile environment. Hara [6] proposed  
263 a replica allocation method to increase data acces-  
264 sibility in MANETS. In this scheme, an MT main-  
265 tains a limited number of duplicated data items if  
266 they are frequently requested. Replicated data  
267 items are relocated periodically at every relocation  
268 period based on the followings: each MT's access  
269 frequency, the neighbor MTs' access frequency or  
270 overall network topology. Update of the replicated  
271 data is further considered in [7]. Since an MT can-  
272 not access data when it is isolated from others, rep-  
273 lication is an effective means to improve data  
274 accessibility. Due to the limited size of information  
275 that an MT can maintain, however, simply repli-  
276 cating data items and accessing them in MANETS  
277 cannot fulfill users' requirements to access a wide  
278 variety of information, available over the Internet.

279 To overcome the limited information availabil-  
280 ity in MANETS, Sailhan et al. [19] proposed a coop-  
281 erative caching scheme to increase data accessibility  
282 by peer-to-peer communication among MTs, when  
283 they are out of bound of a fixed infrastructure. It is  
284 implemented on top of a well-known ad hoc routing  
285 protocol, called Zone Routing Protocol (ZRP).  
286 Papadopouli et al. [14] suggested the 7DS architec-  
287 ture, in which a couple of protocols are defined to  
288 share and disseminate information among users.  
289 It operates either on a prefetch mode, based on  
290 the information and user's future needs or on an  
291 on-demand mode, which searches for data items  
292 in a single-hop multicast basis. Depending on the  
293 collaborative behavior, a peer-to-peer and server-  
294 to-client model are used. Unlike our approach, this  
295 strategy focuses on data dissemination, and thus,  
296 the cache management including a cache admission  
297 control and replacement policy is not well explored.

298 To the best of our knowledge, none of previous  
299 work has explored an aggregated caching scheme  
300 along with an efficient information search algo-  
301 rithm in the realm of IMANETS.

### 302 3. Information search in IMANETS

#### 303 3.1. System model

304 In this subsection, we describe a generic system  
 305 model of IMANETS. We assume that an MT can  
 306 not only connect to the Internet but also can for-  
 307 ward a message for communication with other  
 308 MTs via a wireless LAN (e.g. IEEE 802.11), as  
 309 used in most prior study [19,14,13]. As illustrated  
 310 in Fig. 1, an IMANET consists of a set of MTs that  
 311 can communicate with each other using an ad hoc  
 312 communication protocols (illustrated by dashed-  
 313 line). Among the MTs, some of them can directly  
 314 connect to the Internet, and thus serve as *access*  
 315 *points*<sup>3</sup> (AP) for the rest of MTs in the IMANET.  
 316 Thus, an AP is a gateway for the Internet and is  
 317 assumed to have access to any information. An  
 318 MT located out of the communication bound of  
 319 an AP has to access the Internet via relays through  
 320 one of the access points. An MT can move in any  
 321 direction and make information search and access  
 322 requests from anywhere in the covered area.

323 When an MT is located near by an AP (e.g.  
 324 within one-hop), it makes a connection to the AP  
 325 directly. When an MT is located far away from  
 326 an AP, however, information access has to go  
 327 through several hops in the ad hoc network before  
 328 reaching the AP.

#### 329 3.2. Information search algorithm

330 As mentioned in the introduction, the main fo-  
 331 cus of this paper is to support information access  
 332 in IMANETS. Unlike a routing protocol, which  
 333 establishes a path between a known source and  
 334 destination, any MT can be an information source  
 335 in the IMANET. Thus, without knowing the desti-  
 336 nation address for any requested information, a  
 337 search algorithm is needed for IMANETS as is done  
 338 in the Internet. In the following, we describe the  
 339 basic idea of an information search algorithm em-

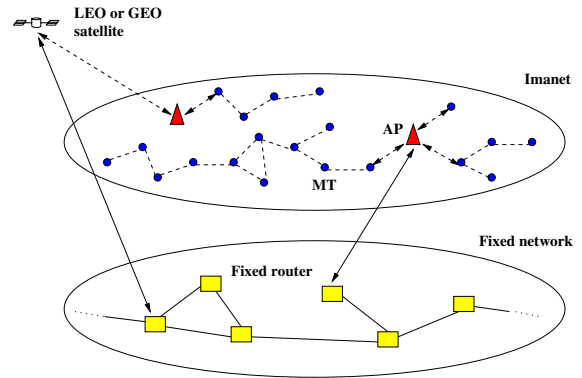


Fig. 1. A generic system model of IMANET.

340 employed in the paper. This algorithm can be imple-  
 341 mented on top of an existing routing protocol for  
 342 MANETS.

343 Since an aggregate cache is supported in an  
 344 IMANET design, requested data items can be  
 345 received from the local cache of an MT as well  
 346 as via an AP connected to the Internet. When an  
 347 MT needs a data item, it does not know exactly  
 348 where to retrieve the data item from, so it broad-  
 349 casts a request to all of the adjacent MTs. When  
 350 an MT receives the request and has the data item  
 351 in its local cache, it will send a reply to the requester to acknowledge that it has the data item; otherwise, it will forward the request to its neighbors. Thus, as illustrated in Fig. 2,<sup>4</sup> a request may be flooded in the network and eventually acknowledged by an AP and/or some MTs with cached copies of the requested data item.

352 Based on the idea described above, we propose  
 353 an information search algorithm, called *Simple*  
 354 *Search (SS)*, to determine an information access  
 355 path to the MTs with cached data of the request  
 356 or to appropriate APs. The decision is based on  
 357 the arriving order of acknowledgments from the  
 358 MTs or APs. Let us assume an MT ( $n_i$ ) sends a request for a data item ( $d$ ) and an MT ( $n_k$ ) is located along the path in which the request travels to an AP, where  $k \in \{a, b, c, j\}$ . The SS algorithm is described as follows:

<sup>3</sup> The AP here is a logical notation. An AP equipped with appropriate antennas can directly communicate with the Internet through wireless infrastructures including cellular base stations, and Low Earth Orbit (LEO) or geostationary (GEO) satellites.

<sup>4</sup> A dotted circle represents the communication range of an MT or an AP. For the sake of simplicity, we assume that both an MT and an AP have the same diameter of communication.

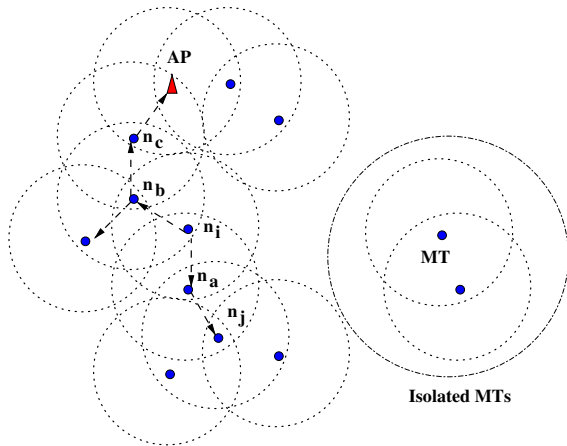


Fig. 2. An MT ( $n_i$ ) broadcasts a request packet which is forwarded to the AP in the IMANET.

- 369 1. When  $n_i$  needs  $d$ , it first checks its local cache. If  
 370 the data item is not available in the local cache  
 371 and  $n_i$  cannot directly access to an AP, it broad-  
 372 casts a *request* packet to the adjacent MTs ( $g_i$ ).<sup>5</sup>  
 373 The *request* packet contains the requester's id  
 374 and request packet id. After  $n_i$  broadcasts the  
 375 request, it waits for an acknowledgment. If  $n_i$   
 376 does not get any acknowledgment within a spec-  
 377 ified timeout period, it fails to get  $d$ .
- 378 2. When  $n_k$  receives a *request* packet, it forwards  
 379 the packet to adjacent MTs ( $g_k$ ) if it does not  
 380 have  $d$  in its local cache. If  $n_k$  has the data  $d$ ,  
 381 it sends an *ack* packet to  $n_i$ . When an AP  
 382 receives the *request* packet, it simply replies an  
 383 *ack* packet. When an MT or AP forwards or  
 384 sends the *ack* packet, the id of the MT or AP  
 385 is appended in the packet to keep the route  
 386 information. In contrast to a *request* packet,  
 387 which is broadcasted, the *ack* packet is sent only  
 388 along the path, which is accumulated in the  
 389 *request* packet.
- 390 3. When  $n_i$  receives an *ack* packet, it sends a *con-*  
 391 *firm* packet to the *ack* packet sender, e.g. an  
 392 AP or  $n_k$ . Since an *ack* packet arrives earlier

<sup>5</sup> For  $g_i$ ,  $g_i = \{n_j | \text{distance}(n_i, n_j) \leq \mathcal{T}\}$ , where  $\text{distance}(n_i, n_j)$  is calculated by  $\sqrt{|x_i - x_j|^2 + |y_i - y_j|^2}$  and  $\mathcal{T}$  is the diameter of communication range of the MT. The  $x_i$  and  $y_i$  are the coordinates of  $n_i$ .

- from an MT or AP that is closer to  $n_i$ ,  $n_i$  selects  
 the path based on the first receipt of the *ack*  
 packet and discards rest of the *ack* packets.  
 4. When  $n_k$  or an AP receives a *confirm* packet, it  
 sends the requested data ( $d$ ) as using the known  
 route.

When an MT receives a *request* packet, it  
 checks whether the packet has been processed. If  
 the packet has been processed, then the MT does  
 not forward it to adjacent MTs, and discards it.  
 For an *ack*, *confirm*, or *reply* packet, the MT also  
 checks if its id is included in the path, which is ap-  
 pended to the packet. Since these packets are sup-  
 posed to travel only along the assigned path that is  
 established by the *request* packet, if the MT's id is  
 not included in the path, the packet is discarded.  
 We use a hop limit for a *request* packet to prevent  
 floating of packets in the network. Thus, an MT  
 does not broadcast a *request* packet to the adjacent  
 MTs if the number of forwarded hops of the pack-  
 et exceeds the hop limit. When the MT or an AP  
 receives a *request* packet, it does not send the data  
 item immediately, but sends an *ack* packet because  
 other MTs or APs, which are located closer to the  
 sender might reply earlier. This helps in reducing  
 network congestion and bandwidth consumption  
 by multiple data packets.

When a set of MTs is isolated (as shown in Fig. 2)  
 and cannot access the data of their interest because  
 they are out of the communication range of an AP,  
 they try to search among themselves with cached  
 copies.

The proposed SS algorithm is illustrated in Fig. 3,  
 where we assume  $n_j$  has the data item in its local  
 cache that  $n_i$  requested. Once the MT receives the re-  
 quested data, it triggers the cache admission control  
 procedure to determine whether it should cache the  
 data item. The cache management scheme is de-  
 scribed in the next section.

#### 4. Aggregate cache management

In this section, we present the aggregate cache  
 management policy including a cache admission  
 control and a cache replacement policy.

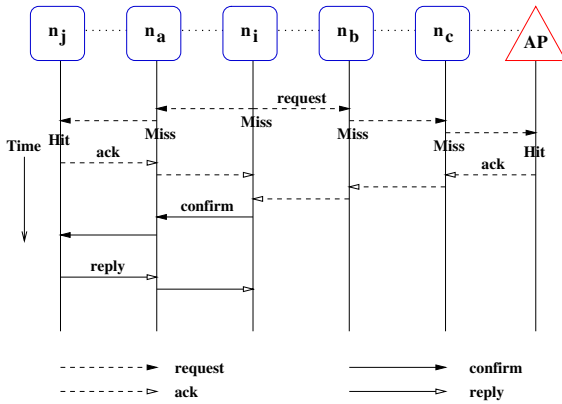


Fig. 3. A Simple Search algorithm in the IMANET. Let us assume that an MT ( $n_i$ ) sent a *request* packet for a data item ( $d$ ) and an MT ( $n_j$ ) receives a forwarded *request* packet.  $n_j$  has the data  $d$  in its local cache and replies an *ack* packet to  $n_i$ . Then  $n_i$  sends a *confirm* packet to the  $n_j$ , and  $n_j$  attaches  $d$  to the *reply* packet. Here, dotted line between MTs or an MT and AP represents that they are located within communication range.

#### 4.1. An aggregate cache

In IMANETS, caching data items in the local cache helps in reducing latency and increasing accessibility. If an MT is located along the path in which the request packet travels to an AP, and has the requested data item in its cache, then it can serve the request without forwarding it to the AP. In the absence of caching, all the requests should be forwarded to the appropriate APs. Since the local cache of the MTs virtually form an aggregate cache, a decision as to whether to cache the data item depends not only on the MT itself, but also on the neighboring MTs.

In the aggregate cache, a cache hit can be of two types: a local cache hit or a remote cache hit. A local cache hit occurs when the requested data item is available in the MT's local cache. A remote cache hit implies that the data item is available in other MTs' local cache.

#### 4.2. Cache admission control

When an MT receives the requested data, a cache admission control is triggered to decide whether it can cache this data. In this paper, the cache admission control allows an MT to cache a

data item based on the distance of other APs or MTs, which have the requested data. If the MT is located within  $\Gamma$  hops from them, then it does not cache the data; Otherwise it caches the data item. Since cached data can be used by closely located MTs, the same data items are cached at least  $\Gamma$  hops apart. Here,  $\Gamma$  is a system parameter.

The primary idea is that, in order to increase accessibility, we try to cache as many data items as possible, while trying to avoid too many replications. There is a tradeoff between access latency and data accessibility in data replication. If the popular data are replicated a lot, then the average access latency to average access is reduced because there is a high probability of finding those data items in another closer MT. With high duplication, however, the number of distinct data items in the aggregate cache is less. Thus, the probability of finding less popular data items from other MTs becomes low. Even though the number of copies of popular data reduces due to the cache admission control, a data is accessible from other MTs/APs with a longer delay.

Although caching popular data aggressively in closer MTs helps in reducing the latency, in this work, we give more weight to data accessibility than to access latency. A rationale behind this is that it is meaningless to reduce access latency when a set of MTs is isolated from other MTs or the AP, and they can not access any interested data items. Instead of waiting until the network topology changes, it is better for the MTs to have even higher probability of finding the requested data. Since  $\Gamma$  value enables more distinct data items to be distributed over the entire cache due to admission control, the overall data accessibility is increased.

#### 4.3. Cache replacement policy

A cache replacement policy is required when an MT wants to cache a data item, but the cache is full, and thus it needs to victimize a data for replacement. Two factors are considered in selecting a victim. The first issue is the distance ( $\delta$ ), measured by the number of hops away from an AP or an MT, which has the requested data. Since  $\delta$  is closely related to the latency, if the data item with a higher  $\delta$  is selected as a victim, then the ac-

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507 cess latency would be high. Therefore, the data  
508 item with the least  $\delta$  value is selected as the victim.

509 The second issue is the access frequency of data  
510 items. Due to mobility of the MTs, the network  
511 topology may change frequently. As the topology  
512 varies, the  $\delta$  values become obsolete. Therefore,  
513 we use a parameter ( $\tau$ ), which captures the elapsed  
514 time of the last updated  $\delta$ . The  $\tau$  value is obtained  
515 by  $\frac{1}{t_{\text{cur}} - t_{\text{update}}}$ , where  $t_{\text{cur}}$  and  $t_{\text{update}}$  are the current  
516 time and the last updated time of  $\delta$  for the data  
517 item, respectively. If  $\tau$  is closer to 1,  $\delta$  has recently  
518 been updated. If it is closer to 0, the updated gap is  
519 long. Thus,  $\tau$  is used as an indicator of  $\delta$  to select a  
520 victim.

521 An MT maintains the  $\delta$  and  $t_{\text{update}}$  values for  
522 each data item in the local cache. The mechanism  
523 to update  $\delta$  and  $t_{\text{update}}$  is described as follows (refer  
524 to Fig. 3):

- 525 1. After  $n_j$  receives the *confirm* packet, it checks  
526 the  $\delta$  of the requested data item between  $n_i$   
527 and  $n_j$ . If  $\delta$  is  $\geq \Gamma$  and is less than previously  
528 saved  $\delta$  of the data item, then  $n_j$  updates the  
529 old  $\delta$  with the new  $\delta$ . Otherwise,  $n_j$  does not  
530 update  $\delta$ , because  $d$  will not be cached in  $n_i$   
531 based on the cache admission control. The  $\delta$   
532 value is obtained by counting the number of  
533 MTs' ids accumulated in the packet.
- 534 2. When  $n_i$  receives the data item in the *reply*  
535 packet, it checks the  $\delta$  value of the data between  
536  $n_i$  and  $n_j$ , and then chooses a victim and replaces  
537 it with  $d$ , if  $\delta$  is  $\geq \Gamma$ . In addition,  $n_i$  saves  $\delta$  and  
538  $t_{\text{cur}}$ , which is  $t_{\text{update}}$  for the data item.

539 In this paper, we suggest a *Time and Distance*  
540 *Sensitive (TDS)* replacement based on these two  
541 parameters. Depending on the weight assigned to  
542 the two parameters, we propose three schemes be-  
543 low (refer to Fig. 3).

- 545 • *TDS\_D*: We mainly consider the distance ( $\delta$ )  
546 value to determine a victim. If there is a tie, then  
 $\tau$  is considered the second criteria. We add the  
548 two parameters and choose the data item that  
549 has the least value of  $(\delta + \tau)$ . Note that  $\delta$  is  
550  $\geq 1$ , but  $\tau$  is in the range of  $0 \leq \tau \leq 1$ .

- *TDS\_T*: A  $\tau$  value is mainly considered to deter-  
551 mine a victim. Thus, a victim is selected with the  
552 least  $\tau$  value. As we mentioned before,  $t_{\text{update}}$  is  
553 updated when  $n_j$  receives the *confirm* packet and  
554  $n_i$  receives the *reply* packet. Here,  $\delta$  of the  
555 requested data item between  $n_i$  and  $n_j$  is  $\geq \Gamma$ .  
556
- *TDS\_N*: Both distance and access frequency are  
557 considered to determine a victim. We multiply  
558 the two factors and select the data item with  
559 the least  $(\delta \times \tau)$  value.  
560

561 The *TDS\_T* scheme is different from the tradi-  
562 tional *Least Recently Used (LRU)* cache replace-  
563 ment policy, which is associated with the time of  
564 reference of the data items ( $t_{\text{ref}}$ ). In the LRU  
565 scheme, a requested data is cached without consid-  
566 ering an admission control policy. Thus, whenever  
567 an MT receives the data item in the *reply* packet,  
568 one of the local data items that has the highest  
569  $(t_{\text{cur}} - t_{\text{ref}})$  value is selected as the victim. In addi-  
570 tion, when  $n_j$  receives the *confirm* packet and  $n_i$   
571 receives the *reply* packet,  $t_{\text{ref}}$  is updated regardless of  
572 the  $\delta$  values of the requested data item between  $n_i$   
573 and  $n_j$ .  
574

The overall aggregate cache management algo-  
575 rithm is given in Fig. 4.  
576

## 5. Performance evaluation 577

### 5.1. Simulation testbed 578

579 We use a wrap around network to examine the  
580 proposed idea. We assume that an AP is located in  
581 the center of an area. The MTs are randomly lo-  
582 cated in the network. The request arrival pattern  
583 follows Poisson distribution with a rate of  $\lambda$ . The  
584 speed ( $s$ ) of the MTs is uniformly distributed in  
585 the range  $(0.0 < s \leq 1.0 \text{ m/s})$ . The *random way-*  
586 *point mobility* model, developed in [10], is used to  
587 simulate mobility here. With this approach, an  
588 MT travels toward a randomly selected destina-  
589 tion in the network. After the MT arrives at the  
590 destination, it chooses a rest period (pause time)  
591 from a uniform distribution. After the rest period,  
592 the MT travels towards another randomly selected  
593 destination, repetitively. An MT does not move at

**Notations:**

$t_{cur}, t_{update}, \tau, \delta$ : Defined before.

$C_i$ : A local cache in MT  $n_i$ .

$d_n$ : A data item cached in the  $n^{th}$  slot in the local cache, where  $0 \leq n < C_{size}$  ( $C_{size}$  is the cache size).

$\tau_n$ : A calculated  $\tau$  value of  $d_n$ .

$\delta_n$ : A  $\delta$  value of  $d_n$ .

(A) When  $n_i$  receives a data item  $d$ , it calculates  $\delta$ . /\* cache admission control is triggered. \*/

```

if ( $\delta \geq \Gamma$ ) {
  if (empty cache slot is available in  $C_i$ )
    cache  $d$ ;
  else
    call cache_replacement_policy();
    store  $\delta$  and  $t_{cur}$ , which is saved as  $t_{update}$ ;
}
else
  do not cache  $d$ ;

```

(B) **Procedure** `cache_replacement_policy()`

```

calculate  $\tau$  by  $\frac{1}{t_{cur} - t_{update}}$ ;
for  $d_n \in C_i$  do {
  calculate  $\tau_n$ ;
  find  $d_n$  which has the minimum  $\delta_n \times \tau_n$  value;
}
replace  $d_n$  with  $d$ ;

```

Fig. 4. Pseudocode of the aggregate cache management algorithm used in an MT. We use the TDS\_N replacement policy. The TDS\_D and TDS\_T can be implemented by slightly modifying the `cache_replacement_policy()` procedure.

594 all if its pause time is infinite, represented as *Inf*. If  
595 the pause time is 0, then it always moves.

596 To model the data item access pattern, we use  
597 two different distributions: Uniform and Zipf dis-  
598 tribution [23]. The Zipf distribution is often used  
599 to model a skewed access pattern [21,8,1], where  
600  $\theta$  is the access skewness coefficient that varies from  
601 0 to 1.0. Setting  $\theta = 0$  corresponds to the uniform  
602 distribution. Here, we set  $\theta$  to 0.95. We have writ-  
603 ten an event-driven simulator using CSIM [20] to  
604 conduct the performance study. The simulation re-  
605 sults are illustrated as a function of the pause time.  
606 The other important simulation parameters are  
607 summarized in Table 1.

## 608 5.2. Simulation metric

609 We evaluate three performance parameters  
610 here: throughput or fraction of successful requests  
611 ( $\Phi$ ), average number of hops ( $\Omega$ ), and cache hit ra-  
612 tio ( $h$ ) including local cache hit and remote cache  
613 hit. Throughput  $\Phi$  denotes the fraction of success-  
614 ful requests and is used to measure the accessibility

Table 1  
Simulation parameters

Parameter	Value
Network size (m)	3000 × 3000
Number of MTs	200
Number of data items	1000, 10000
Cache size (items/MT)	16
Transmission range (m)	250
Number of APs	1, 4, 16
Inter request time (s)	600
Pause time (s)	0, 100, 200, 400, 800, 1600, Inf

of the MTs in the IMANET. If  $r_{total}$  and  $r_{suc}$  denote  
the total number of requests and the number of  
successfully received data items, then  $\Phi$  is defined  
as

$$\Phi = \frac{r_{suc}}{r_{total}} \times 100\%.$$

The average number of hops ( $\Omega$ ) represents the  
average hop length to the APs or MTs of success-  
fully received data items. If  $\Omega_r$  denotes the hop  
length for a successful request  $r$ , then  $\Omega$  is ex-  
pressed as,

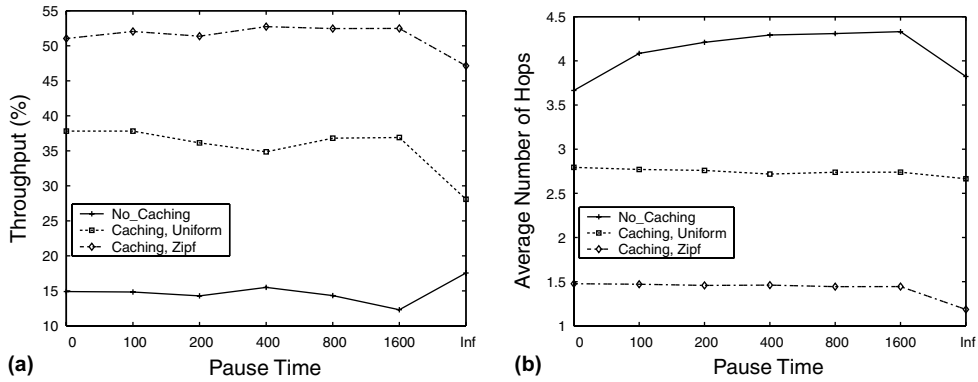


Fig. 5. (a) Throughput ( $\Phi$ ) and (b) latency ( $\Omega$ ) as a function of pause time.

$$\Omega = \frac{\sum_{r \in r_{\text{suc}}} \Omega_r}{r_{\text{suc}}}$$

628 Since the number of hops is closely related to the  
 629 communication latency, we use  $\Omega$  to measure aver-  
 630 age latency. Finally, the hit ratio  $h$  is used to eval-  
 631 uate the efficiency of the aggregate cache manage-  
 632 ment. If  $n_{\text{local}}$  and  $n_{\text{remote}}$  denote the num-  
 633 ber of local hits and remote hits respectively, then  
 634  $h_{\text{local}}$ ,  $h_{\text{remote}}$ , and  $h$  are expressed as:

$$h_{\text{local}} = \frac{n_{\text{local}}}{n_{\text{local}} + n_{\text{remote}}} \times 100\%,$$

$$h_{\text{remote}} = \frac{n_{\text{remote}}}{n_{\text{local}} + n_{\text{remote}}} \times 100\%,$$

$$h = \frac{n_{\text{local}} + n_{\text{remote}}}{r_{\text{suc}}} \times 100\%.$$

### 641 5.3. Simulation results

642 In this subsection, we examine the impact of  
 643 caching and cache management including admis-  
 644 sion control and replacement policy on the IMA-  
 645 NET performance. Then we discuss the impact of  
 646 number of APs. Since there are only few APs avail-  
 647 able in a given area due to limited resource envi-  
 648 ronment in an IMANET, in all the discussion, we  
 649 use a single AP unless otherwise stated.

#### 650 5.3.1. Impact of caching

651 We investigate the performance implications of  
 652 the aggregate cache, using two data access pat-

653 terns: uniform and Zipf distributions. In Fig. 5,  
 654 the TDS\_D and TDS\_T cache replacement poli-  
 655 cies are used for caching with data access pattern  
 656 of uniform and Zipf distribution, respectively.  
 657 We have simulated all other policies, but discuss  
 658 only a subset of the important results. For a sys-  
 659 tem without any cache, an access pattern does  
 660 not make any performance difference, because a  
 661 request can not be satisfied by any MT but by an  
 662 AP.

663 In Fig. 5(a), data accessibility is greatly im-  
 664 proved when we use the aggregate cache.  $\Phi$  is in-  
 665 creased more than twice compared to the no  
 666 cache case. With caching, there is a high probabili-  
 667 ty of the requested data being cached in the MT's  
 668 local cache or at other MTs. Even though a set of  
 669 MTs is isolated from an AP, in contrast to the no  
 670 cache case, they still try to access the cached data  
 671 items among themselves. Further improvement is  
 672 possible depending on the access pattern. Note  
 673 that almost 200% improvement is achieved com-  
 674 pared to the no cache case, when data access pat-  
 675 tern follows Zipf distribution.

676 Fig. 5(b) shows the effect of the aggregate cache  
 677 on the average latency. Since a request can be sat-  
 678 isfied by any one of the MTs located along the  
 679 path in which the request is relayed to the AP, un-  
 680 like to the no cache case, data items can be ac-  
 681 cessed much faster. As expected,  $\Omega$  is reduced  
 682 with caching by more than 50%. The results clearly  
 683 demonstrate the effectiveness of the aggregate  
 684 caching scheme.

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## 685 5.3.2. Impact of cache management

686 In this subsection, we evaluate the cache man-  
 687 agement policy in terms of the impact of  $\Gamma$  on  
 688 admission control and impact of the cache replace-  
 689 ment policy. We compare the performance of our  
 690 TDS schemes against the *Least Recently Used*  
 691 (*LRU*) policy.

692 *Impact of  $\Gamma$  on Admission Control:* We examine  
 693 the performance effect of parameter  $\Gamma$ , which  
 694 determines which data item can be cached.  
 695 Although a high  $\Gamma$  value enables more data items  
 696 to be distributed over the entire cache, so that  
 697 more distinct data items will be cached, the aver-  
 698 age access latency will increase. In this paper, as  
 699 mentioned before, data accessibility is considered  
 700 more important than access latency.

701 In Fig. 6(a), throughput  $\Phi$  degrades after  $\Gamma = 5$ .  
 702 An MT does not cache a data item according to  
 703 the admission control policy, when the data is  
 704 available within five hops. Thus, performance is al-  
 705 most similar to the no cache case at  $\Gamma = 6$ , because  
 706 only a few data items are cached. TDS\_D has the  
 707 highest  $\Phi$  followed by the TDS\_N and then  
 708 TDS\_T. Due to the uniform access pattern,  $\delta$  has  
 709 more effect on the performance than that of  $\tau$ .  
 710 Since TDS\_N gives equal importance to  $\delta$  and  $\tau$ ,  
 711 it shows higher  $\Phi$  than TDS\_T but lower than  
 712 TDS\_D.

713 In Fig. 6(b),  $\Phi$  of all schemes drops after  $\Gamma = 5$   
 714 for similar reason discussed above. When the ac-  
 715 cess pattern follows the Zipf distribution, however,  
 716 TDS\_T shows the best performance. Since  $t_{\text{update}}$   
 717 of popular data items is more frequently updated

718 than that of less popular data items, there is a high  
 719 probability of a less popular data item being se-  
 720 lected as a victim. Also, the probability of a popu-  
 721 lar data item to be found in other MTs is high. As  
 722 the result indicates,  $\tau$  has more impact on through-  
 723 put than that of  $\delta$ . Throughput can be further en-  
 724 hanced by tuning the  $\Gamma$  value.

725 *Impact of Cache Replacement Policy:* The im-  
 726 pact of the suggested cache replacement polices  
 727 on performance is investigated with different data  
 728 access patterns. Based on Fig. 6(a), we set  $\Gamma$  as  
 729 four, five, and five for TDS\_D, TDS\_T, and  
 730 TDS\_N policies, respectively. In addition, we sim-  
 731 ulate the LRU policy for comparison.

732 In Fig. 7, we use uniform distribution and set  
 733 the total number of data items to 1000. In Fig.  
 734 7(a), as the pause time increases, overall  $\Phi$  of the  
 735 TDS schemes and LRU decreases. It implies that  
 736 the isolation period of a set of MTs from other  
 737 MTs or the AP becomes longer due to slow move-  
 738 ment of MTs. For instance, when an MT does not  
 739 move (pause time is *Inf*) and is isolated, its data  
 740 accessibility is very low for the entire simulation  
 741 time. TDS\_D and TDS\_N have higher  $\Phi$  than  
 742 TDS\_T in high mobility. The LRU scheme shows  
 743 the lowest performance due to data access pattern.

744 Fig. 7(b) demonstrates the effect of the aggre-  
 745 gate cache on the latency, where TDS\_D has lower  
 746  $\Omega$  than TDS\_T and TDS\_N. The LRU scheme  
 747 shows the lowest  $\Omega$  because it does not filter an ac-  
 748 cessed data item but simply caches it.

749 Fig. 8 shows  $h_{\text{local}}$  and  $h_{\text{remote}}$  for different pause  
 750 times and the  $h_{\text{remote}}$  is almost up to 90% of  $h$ .  $h_{\text{local}}$

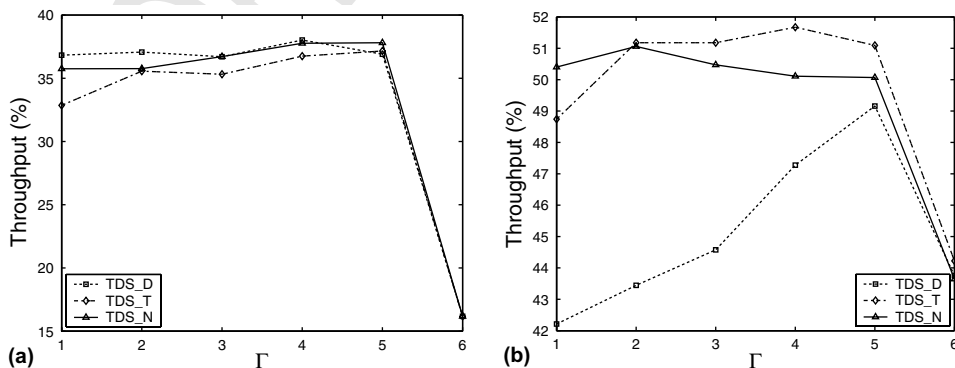


Fig. 6. Throughput ( $\Phi$ ) as a function of  $\Gamma$ : (a) uniform distribution and (b) Zipf distribution.

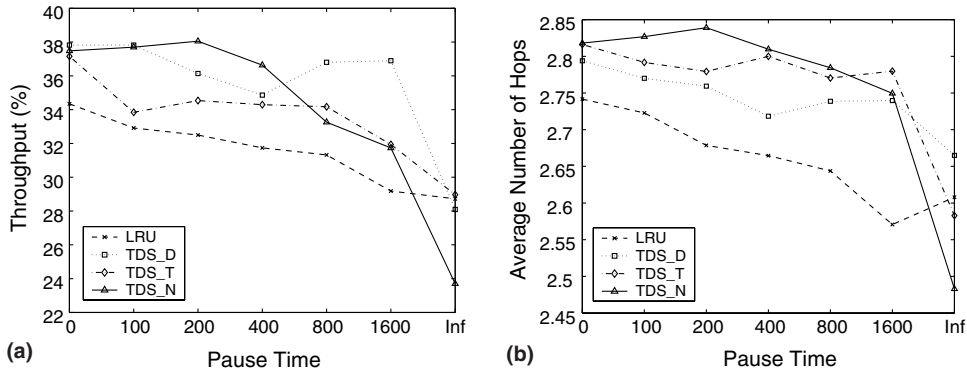


Fig. 7. (a) Throughput ( $\Phi$ ) and (b) latency ( $\Omega$ ) comparison with uniform distribution.

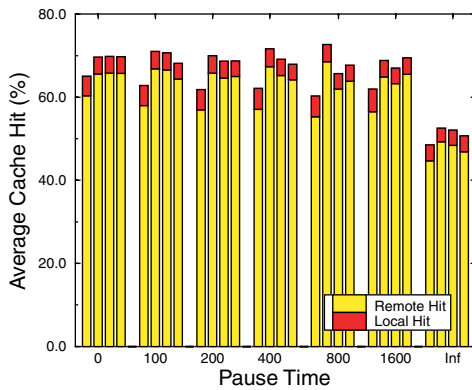


Fig. 8. Average cache hit ratio ( $h$ ) comparison with uniform distribution (Four stack bars for different replacement policies are shown against pause time. The LRU, TDS\_D, TDS\_T, and TDS\_N are plotted from left to right).

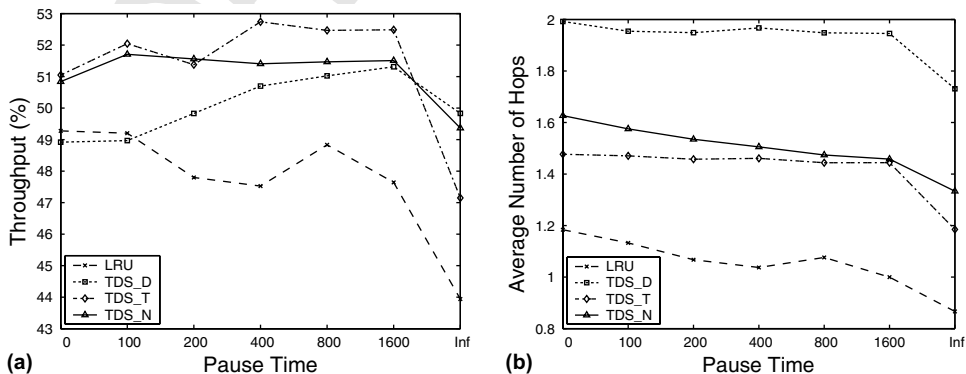


Fig. 9. (a) Throughput ( $\Phi$ ) and (b) latency ( $\Omega$ ) comparison with Zipf distribution.

is quite small compared to  $h_{\text{remote}}$ , because the aggregated cache size is larger than a local cache. Our TDS schemes show higher  $h$  than the LRU policy.

Next, we examine the impact of data access pattern using Zipf distribution with 10000 data items in Fig. 9. Based on Fig. 6(b), we set  $\Gamma$  as five, four, and two for TDS\_D, TDS\_T, and TDS\_N, respectively.

Fig. 9(a) demonstrates that the effect of the aggregate cache is more significant when the access pattern follows Zipf distribution. TDS\_T has the best performance followed by TDS\_N, TDS\_D, and LRU. Since the popular data items are frequently requested, all the TDS schemes and LRU gain more benefit compared to the uniform distribution. In general, all variations of TDS replacement show better performance than the

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769 LRU scheme with the Zipf distribution. A draw-  
 770 back of the LRU scheme is that it has too much  
 771 replication of popular data items, and thus results  
 772 in lower data accessibility. However, with the TDS  
 773 policies, data items are treated more fairly in the  
 774 sense that the number of replications for the most  
 775 popular data items is restricted due to the cache  
 776 admission control.

777 In Fig. 9(b), all the TDS schemes have higher  $\Omega$   
 778 than the LRU policy because the cache admission  
 779 control allows caching only when a data item is  $\Gamma$   
 780 hops away. Since the LRU policy caches the re-  
 781 quested data without using the admission control,  
 782 most frequently accessed data items are stored in  
 783 multiple MTs. Due to higher replication of popu-  
 784 lar data items, LRU has a smaller  $\Omega$ .

785 Even if a data item is popular and can be re-  
 786 ceived from a near by MT, it cannot be cached  
 787 due to the cache admission control. Thus, the aver-  
 788 age popularity of local cache in TDS\_D, TDS\_T,  
 789 and TDS\_N is smaller compared to the LRU pol-  
 790 icy. For instance, when an MT is isolated, it can  
 791 only access data items in its local cache. Because  
 792 of the less popularity of data items, an MT will  
 793 have less  $h_{\text{local}}$  for the TDS policies. However, in  
 794 contrast to the LRU scheme, where the  $h_{\text{local}}$  is  
 795 high, the TDS\_D, TDS\_T, and TDS\_N have high-  
 796 er remote cache hit due to cache admission con-  
 797 trol, which prevents arbitrary data replication.  
 798 This is shown in Fig. 10. Note that the TDS\_T pol-

799 icy has slightly better hit ratio along with its high  
 800 throughput.

801 In summary, the aggregate cache improves IMA-  
 802 NET performance significantly and the proposed  
 803 TDS scheme is a viable cache replacement policy.

### 5.3.3. Impact of number of APs 804

805 Since the number of APs can affect the perform-  
 806 ance in an IMANET, we disable the caching ability  
 807 of the MTs to study the impact of number of APs.  
 808 As the number of APs increases,  $\Phi$  increases up to  
 809 90% (at AP = 16). Intuitively, if more APs are de-  
 810 ployed in a given area, the probability of an MT  
 811 being connected to an AP (either directly or indi-  
 812 rectly) increases and thus throughput is increased.

813 For the effect of number of APs on the access  
 814 latency, as the number of APs increases,  $\Omega$  reduces  
 815 as expected. This implies that the accessibility of  
 816 an MT to an AP increases. These results are not  
 817 included here since the focus of the paper is on  
 818 caching, and the results can be found in [12].

## 6. Concluding remarks 819

820 In this paper, we proposed an aggregate caching  
 821 scheme to improve the communication perform-  
 822 ance of an IMANET, a ubiquitous communication  
 823 infrastructure consisting of both the wired Internet  
 824 and wireless MANET. An IMANET is envisioned to  
 825 provide access to Internet information and services  
 826 from anywhere anytime. The aggregate caching  
 827 concept combines the local cache of each user  
 828 (MT) in forming an unified cache that can alleviate  
 829 the limited data accessibility and longer access la-  
 830 tency problems. The caching scheme includes a  
 831 broadcast-based search and a cache management  
 832 technique. The proposed simple search (SS) algo-  
 833 rithm ensures that a requested data is obtained  
 834 from the nearest MT or AP. The aggregate cache  
 835 management scheme has two parts: a cache admis-  
 836 sion control and a cache replacement policy. The  
 837 admission control prevents high data replication  
 838 by enforcing a minimum distance between the  
 839 same data items, while the replacement policy  
 840 helps in improving the cache hit ratio and accessi-  
 841 bility. Three variations of the replacement policy  
 842 are considered in this paper by assigning different

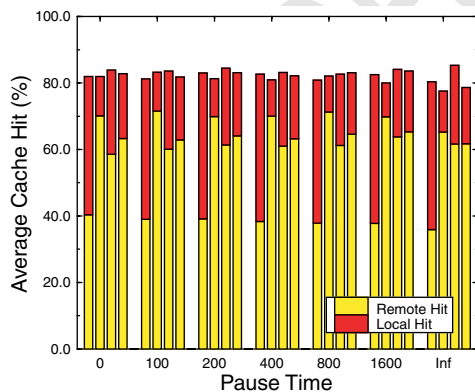


Fig. 10. Average cache hit ratio ( $h$ ) comparison with Zipf distribution (Four stack bars for different replacement policies are shown against pause time. The LRU, TDS\_D, TDS\_T, and TDS\_N are plotted from left to right).

843 weights to the time and distance parameters of the  
844 TDS scheme.

845 A simulation-based performance study was  
846 conducted to examine the advantages of the pro-  
847 posed scheme from three different perspectives: im-  
848 pact of caching, impact of cache management, and  
849 impact of number of APs. The three variations of  
850 the TDS replacement policy were compared  
851 against the traditional LRU policy. It was ob-  
852 served that regardless of the cache replacement  
853 policies, caching in IMANETS can significantly im-  
854 prove communication performance in terms of  
855 throughput and average access latency compared  
856 to an infrastructure without any cache. The per-  
857 formance advantage of the aggregate cache was  
858 magnified for skewed access patterns. Also, per-  
859 formance improvement due to caching was better  
860 with even a single access point to the Internet.

861 There are many challenges that need further  
862 investigation to exploit the full potential of IMA-  
863 NETS. Currently, we are examining the following  
864 issues:

- 865 • In this paper, we assumed that data items are  
866 never updated. We would relax this assumption  
867 to incorporate data modification capability.  
868 This brings in the cache invalidation and cache  
869 update issues. In an IMANET, cache invalidation  
870 and update is challenging because of link dis-  
871 connection and change of network topology.  
872 In light on this, we are currently developing var-  
873 ious cache invalidation techniques [11] suitable  
874 for IMANETS.
- 875 • We did not consider various network topologies  
876 that may cause a network partition problem in  
877 this paper. Thus, we plan to investigate the  
878 impact of the caching scheme on communica-  
879 tion performance under different mobility pat-  
880 terns including Manhattan grid and modified  
881 random waypoint.

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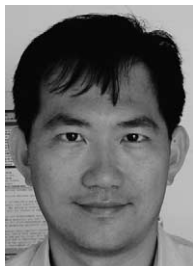
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