

# *Intro to Theory of Computation*

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CS  
464

## LECTURE 22

**Last time**

- Review

**Today:**

- Finish recursion theorem
- Complexity theory

**Exam 2 solutions out**  
**Homework 9 out**

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# I-clicker question (frequency: AC)

**In the future we will find algorithms for all computational problems, that is, problems with well-defined inputs and desired outputs.**

- A. True. I am an optimist.**
- B. It is difficult to make predictions, especially about the future.**
- C. False. Finitely many people will be able to design only finitely many algorithms.**
- D. False. There are more computational problems than algorithms.**

**It is not hard to make predictions, it is hard to make *interesting* predictions**

**(of unpredictable events you don't control).**

- It will be dark tonight at 11pm.
- Most people in this room will have another meal today.
- The previous i-clicker question will appear on the final.

# Recursion Theorem

Making TMs that can obtain  
their own descriptions  
with applications to ~~computer~~<sup>TM</sup>  
viruses

# A TM $P_w$ that prints $w$

There is a computable function  $q$  that on input  $w$  outputs  $\langle P_w \rangle$ , where  $P_w$  is a TM that prints  $w$ .

$P_w =$  ``Erase input.  
1. Print  $w$  and halt.''

TM computing  $q$ :

``On input  $w$ ,

1. Print  $\langle P_w \rangle$  and halt.''

# TM $S$ that prints $\langle S \rangle$

**Theorem.** There is a TM  $S$  that erases input, prints  $\langle S \rangle$  and halts.

**Proof:**

- $q(w) = \langle P_w \rangle$

$S =$  "Erase input.

1. Run TM  $A$ .
2. Run TM  $B$ .
3. Halt."

$A = P_{\langle B \rangle}$

$B =$  "On input  $\langle M \rangle$ , where  $M$  is a TM,

1. Compute  $\langle P_{\langle M \rangle} \rangle = q(\langle M \rangle)$ .
2. Construct TM  $S'$ :

$S' =$  "Erase input.

1. Run  $P_{\langle M \rangle}$ .
2. Run  $M$ .
3. Halt."

3. Output  $S'$  and halt."

- Write this sentence.
- Write two copies of the following, the second one in quotes:  
``Write two copies of the following, the second one in quotes:’’

# Recursion Theorem

If there is a TM  $T$  that computes a function  $t(\cdot, \cdot)$  then there is a TM  $R$  that computes  $r(w) = t(\langle R \rangle, w) \forall w$ .

**Punchline:** “*Obtain your own description*” is a valid step in an algorithmic description of a TM.

**R** = “On input  $x$ ,

1. Run on the portion of the tape after  $x$ .
  - a. Run TM A.
  - b. Run TM B.
2. Run TM  $T$ .
3. Halt.”

**A** =  $P_{\langle BT \rangle}$



# Application of recursion theorem

- Yet another proof that  $A_{TM}$  is undecidable.  
(on the board)

# Application of recursion theorem

- A TM  $M$  is **minimal** if there is no TM equivalent to  $M$  that has a shorter description than  $\langle M \rangle$ .
- $MIN_{TM} = \{\langle M \rangle \mid M \text{ is minimal TM}\}$ .
- Show that  $MIN_{TM}$  is not Turing-recognizable.

**(on the board)**

## Already learned

- Automata theory
- Computability theory

## Last unit: **complexity theory**

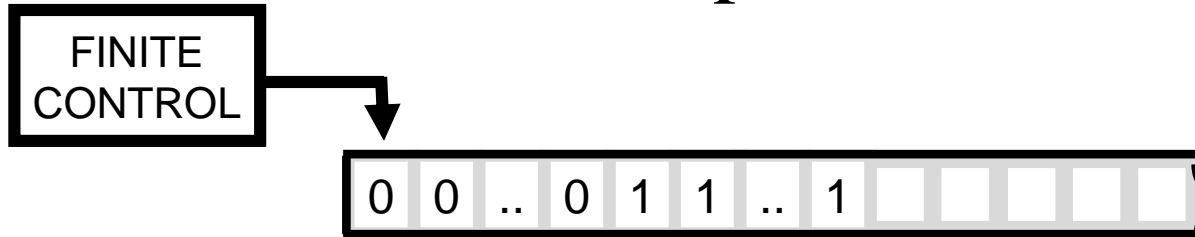
## First topic: time complexity

- Measuring complexity (as in CMPSC 465)
- Asymptotic notation (as in CMPSC 465)
- Relationships between models

# How much time/memory needed to decide a language?

**Example:** Consider  $A = \{0^m 1^m \mid m \geq 0\}$ .

- Time needed for 1-tape TM?



- $M_1 =$ “
  1. Scan input and reject if it is not of the form  $0^* 1^*$ .
  2. Repeat while both 0s and 1s remain on the tape:
  3. Cross off one 0 and one 1
  4. **Accept** if no 0s and no 1s left; otherwise **reject**.”

# Running time analysis

If  $M$  is a TM and  $f: \mathbb{N} \rightarrow \mathbb{N}$  then

“ $M$  runs in time  $f(n)$ ” means

for **every** input  $w \in \Sigma^*$  of length  $n$ ,

$M$  on  $w$  halts within  $f(n)$  steps

- Focus on worst case:
  - upper bound on running time for all inputs of given length
- Exact time depends on computer
  - instead measure **asymptotic growth**

# Asymptotic notation

$O$ -notation (upper bounds):

$f(n) = O(g(n))$  means

there exist constants  $c > 0$ ,  $n_0 > 0$  such that  $0 \leq f(n) \leq cg(n)$  for all  $n \geq n_0$ .

**EXAMPLE:**  $2n^2 = O(n^3)$  ( $c = 2, n_0 = 1$ )

*functions,  
not values*

# Asymptotic Notation

- **One-sided equality:**  $T(n) = O(f(n))$ .
  - Not transitive:
    - $f(n) = 5n^3$ ;  $g(n) = 3n^2$
    - $f(n) = O(n^3)$  and  $g(n) = O(n^3)$
    - but  $f(n) \neq g(n)$ .
  - Alternative notation:  $f(n) \in O(g(n))$ .

# Examples

- $10^6 n^3 + 2n^2 - n + 10 = O(n^3)$
- $\sqrt{n} + \log n = O(\sqrt{n})$
- $n (\log n + \sqrt{n}) = O(n\sqrt{n})$
- $n = O(n), \text{ also } O(n^2)$



# $\Omega$ -notation (lower bounds)

$O$ -notation is an *upper-bound* notation. It makes no sense to say  $f(n)$  is at least  $O(n^2)$ .

$f(n) = \Omega(g(n))$  means

there exist constants  $c > 0$ ,  $n_0 > 0$  such that  $0 \leq cg(n) \leq f(n)$  for all  $n \geq n_0$ .

**EXAMPLE:**  $\sqrt{n} = \Omega(\log n)$  ( $c = 1$ ,  $n_0 = 16$ )

# $\Omega$ -notation (lower bounds)

- **Be careful:** “Any comparison-based sorting algorithm requires at least  $O(n \log n)$  comparisons.”
  - Meaningless!
  - Use  $\Omega$  for lower bounds.

# $\Theta$ -notation (tight bounds)

$\Theta(g(n))$  means both  $O(g(n))$  and  $\Omega(g(n))$

**EXAMPLE:**  $\frac{1}{2}n^2 - 2n = \Theta(n^2)$

Polynomials are simple:

$$a_d n^d + a_{d-1} n^{d-1} + \cdots + a_1 n + a_0 = \Theta(n^d)$$

# $o$ -notation and $\omega$ -notation

$O$ -notation and  $\Omega$ -notation are like  $\leq$  and  $\geq$ .  
 $o$ -notation and  $\omega$ -notation are like  $<$  and  $>$ .

$f(n) = o(g(n))$  means

for **every** constant  $c > 0$ ,  
there exists a constant  $n_0 > 0$   
such that  $0 \leq f(n) \leq cg(n)$  for all  $n \geq n_0$ .

**EXAMPLE:**  $2n^2 = o(n^3)$  ( $n_0 = 2/c$ )

# Overview

Notation	... means ...	Think...	Example	$\lim_{n \leftarrow \infty} \frac{f(n)}{g(n)}$
$f(n) = O(g(n))$	$\exists c > 0, n_0 > 0, \forall n > n_0 : 0 \cdot f(n) < cg(n)$	Upper bound	$100n^2 = O(n^3)$	If it exists, it is $< 1$
$f(n) = \Omega(g(n))$	$\exists c > 0, n_0 > 0, \forall n > n_0 : 0 \cdot cg(n) < f(n)$	Lower bound	$n^{100} = \Omega(2^n)$	If it exists, it is $> 0$
$f(n) = \Theta(g(n))$	both of the above: $f = \Omega(g)$ <b>and</b> $f = O(g)$	Tight bound	$\log(n!) = \Theta(n \log n)$	If it exists, it is $> 0$ and $< 1$
$f(n) = o(g(n))$	$\exists c > 0, \exists n_0 > 0, \forall n > n_0 : 0 \cdot f(n) < cg(n)$	Strict upper bound	$n^2 = o(2^n)$	Limit exists, $= 0$
$f(n) = \omega(g(n))$	$\exists c > 0, \exists n_0 > 0, \forall n > n_0 : 0 \cdot cg(n) < f(n)$	Strict lower bound	$n^2 = \omega(\log n)$	Limit exists, $= 1$

# Common Functions: Asymptotic Bounds

- **Polynomials.**  $a_0 + a_1n + \dots + a_d n^d$  is  $\Theta(n^d)$  if  $a_d > 0$ .
- **Logarithms.**  $\log_a n \stackrel{\uparrow}{=} \Theta(\log_b n)$  for all constants  $a, b > 0$ .

can avoid specifying the base      log grows slower than every polynomial

↓  
For every  $x > 0$ ,  $\log n = o(n^x)$ .

Every exponential grows faster than every polynomial

- **Exponentials.** For all  $r > 1$  and all  $d > 0$ ,  $n^d = o(r^n)$ .
- **Factorial.**  $n! = n(n-1) \cdots 1$ .

By Sterling's formula,

$$n! = (\sqrt{2\pi n}) \left(\frac{n}{e}\right)^n (1 + o(1)) = 2^{\Theta(n \log n)}$$

# Sort by asymptotic order of growth

a)  $n \log n$

b)  $\sqrt{n}$

c)  $\log n$

d)  $n^2$

e)  $2^n$

f)  $n$

g)  $\log \log n$

h)  $n!$

i)  $n^{1,000,000}$

j)  $n^{1/\log(n)}$

k)  $\log(n!)$

l)  $\binom{n}{2}$

m)  $2^{n^2}$

n)  $2^{2^n}$

# Time complexity classes

**TIME**( $f(n)$ ) is a class of languages.

$A \in \mathbf{TIME}(f(n))$  means that

some 1-tape TM  $M$

that runs in time  $O(f(n))$  decides  $A$ .



# How much time/memory needed to decide a language?

**Example:** Consider  $A = \{0^m 1^m \mid m \geq 0\}$ .

- $M_1 =$ “
  1. Scan input and reject if it is not of the form  $0^*1^*$ .
  2. Repeat while both 0s and 1s remain on the tape:
  3.     Cross off one 0 and one 1
  4.     **Accept** if no 0s and no 1s left; otherwise **reject**.”
- $M_1$  runs in time  $O(n^2)$ .
- $A \in TIME(n^2)$ .
- Is there a faster algorithm?

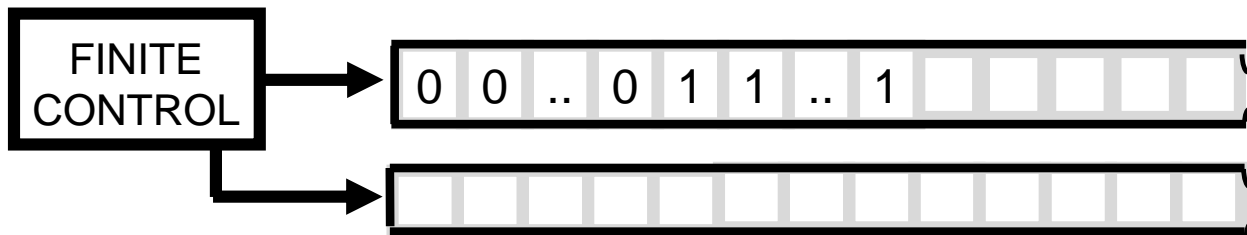
# How much time/memory needed to decide a language?

**Example:** Consider  $A = \{0^m 1^m \mid m \geq 0\}$ .

- $M_2 =$ “
  1. Scan input and reject if it is not of the form  $0^* 1^*$ .
  2. Repeat while both 0s and 1s remain on the tape:
  3. **Reject** if total number of 0s and 1s remaining is odd.
  4. Cross off every other 0 starting from the first 0 and every other 1 starting from the first 1
  5. **Accept** if no 0s and no 1s left; otherwise **reject**.”
- $M_2$  runs in time  $O(n \log n)$ , so  $A \in TIME(n \log n)$ .
- **Sipser, Problem 7.49:** If language  $L$  can be decided in  $o(n \log n)$  time on a 1-tape TM then  $L$  is regular.
- 1-tape TM need  $\Omega(n \log n)$  time to decide  $A$ .

# Two-tape TM can do it faster

**Example:** Consider  $A = \{0^m 1^m \mid m \geq 0\}$ .



- $M_3 =$ “
  1. Scan input and reject if it is not of the form  $0^*1^*$ .
  2. Copy 0s on tape 2.
  3. Scan tape 1. For each 1 read, cross off a 0 on tape 2.”
  4. **Accept** if no 0s remain on tape 2; otherwise **reject**.
- $A$  is decided in  $O(n)$  time (linear time) on a 2-tape TM.

**Unlike decidability,  
the complexity of the language depends on the model.**

# Complexity relationships between models: number of tapes

**Theorem.** Let  $t(n)$  be a function, where  $t(n) \geq n$ .

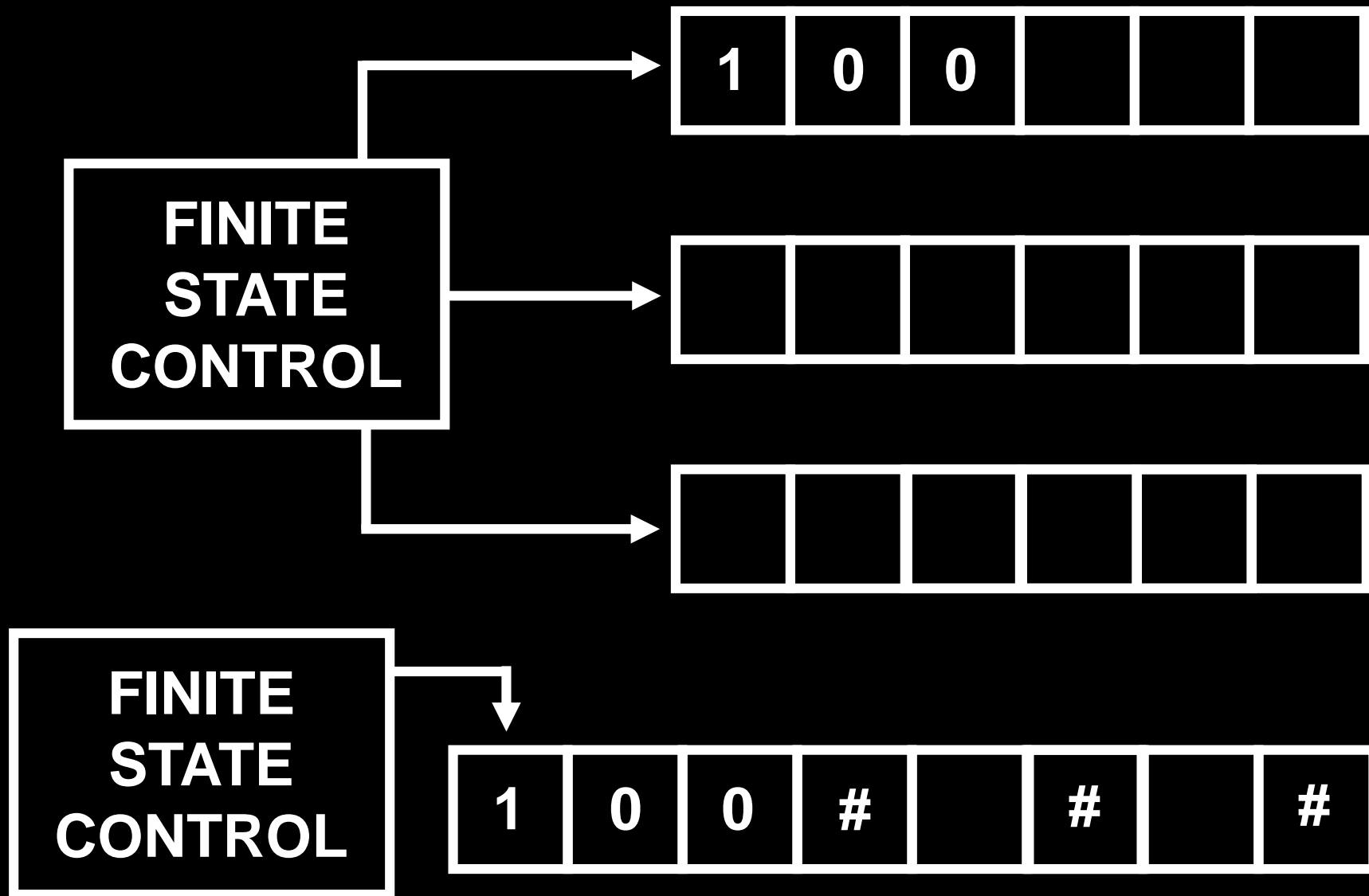
Every  $t(n)$  time multitape TM has

an equivalent  $O\left((t(n))^2\right)$  time 1-tape TM.

## Proof:

- Recall: showed how to simulate multitape TMs by 1-tape TMs.
- Need time analysis of the simulation.

**Theorem:** Every multitape Turing Machine can be simulated by a single tape Turing Machine



# SIMULATING MULTIPLE TAPES

●● ● ●  
L#100#0# 1#R

$q_{jR}$   $q_{i1}$   $q_{i1}$   $q_{j101R}$   $SS$

1. “Format” tape.
2. For each move of the k-tape TM:
  - Scan left-to-right, finding current symbols
  - Scan left-to-right, writing new symbols
  - Scan left-to-right, moving each tape head.
3. If a tape head goes off right end, insert blank.  
If tape head goes off left end, move back right.

# Complexity relationships between models: number of tapes

**Theorem.** Let  $t(n)$  be a function, where  $t(n) \geq n$ .

Every  $t(n)$  time multitape TM has

an equivalent  $O\left((t(n))^2\right)$  time 1-tape TM.

**Proof:** Time analysis of the simulation.

- Time initialize tape:  $O(n + k) = O(n)$
- Time to simulate one step of the multitape TM:  $O(t(n))$   
(at any point  $\leq t(n)$  nonblank squares on each tape)
- Number of steps to simulate:  $t(n)$

Total time:  $O(n) + O(t(n))t(n) = O((t(n))^2)$

# The class P

**P** is the class of languages decidable in polynomial time on a *deterministic* 1-tape TM:

$$P = \bigcup_k TIME(n^k).$$

- The same class even if we substitute another reasonable deterministic model.
- Roughly the class of problems realistically solvable on a computer.