

# *Intro to Theory of Computation*

---

CS  
464

Homework 6 due  
Homework 7 out

## LECTURE 16

### Last time

- Designing deciders.
- Countable/uncountable sets.
- Diagonalization

### Today

- Undecidable/unrecognizable languages
- $A_{TM}$  is undecidable

**Sofya Raskhodnikova**

*Sofya Raskhodnikova; based on slides by Nick Hopper*

# How to compare sizes of infinite sets?

- Two sets are **the same size** if there is a bijection between them.
- A set is **countable** if it is
  - finite or
  - it has the same size as  $\mathbb{N}$ , the set of natural numbers

**Theorem.** There is no bijection from the positive integers to the real interval  $(0,1)$

**Proof:** Suppose  $f$  is such a function:

$n$	$f(n)$
1	0. <b>2</b> 8347279...
2	0.8 <b>8</b> 388384...
3	0.77 <b>6</b> 35284...
4	0.111 <b>1</b> 1111...
5	0.1234 <b>5</b> 678...
:	:

Construct  $b \in (0, 1)$  that does not appear in the table.

$b = 0.d_1d_2d_3 \dots$ , where  $d_i \neq \text{digit } i \text{ of } f(i)$ .

# Diagonalization

The process of constructing a counterexample by  
“contradicting the diagonal” is called  
**DIAGONALIZATION**

# What if we try this argument on $\mathbb{Q}$ instead of $\mathbb{R}$ ?

**Proof:** Suppose  $f$  is such a function:

$n$	$f(n)$
1	0. <b>2</b> 8347279...
2	0.8 <b>8</b> 388384...
3	0.77 <b>6</b> 35284...
4	0.111 <b>1</b> 1111...
5	0.1234 <b>5</b> 678...
:	:

**Construct  $b \in (0, 1)$  that does not appear in the table.**

**$b = 0.d_1d_2d_3 \dots$ , where  $d_i \neq \text{digit } i \text{ of } f(i)$ .**

What if we try Cantor's diagonalization argument on  $\mathbb{Q}$  instead of  $\mathbb{R}$ ?

- A. It works.
- B. It fails because there are some rational numbers that cannot be represented in decimal point notation.
- C. It fails because the  $i$ -th number might have no digit in the  $i$ -th position after the decimal point.
- D. It fails because the constructed number is not rational.
- E. None of the above.

Let  $L$  be any set and  
 $P(L)$  be the power set of  $L$

**Theorem:** There is no bijection from  $L$  to  $P(L)$

**Proof:** Assume, for a contradiction, that there is bijection  $f : L \rightarrow P(L)$

We construct a set  $S$  that cannot be the output,  $f(y)$ , for any  $y \in L$ .

$$\text{Let } S = \{ x \in L \mid x \notin f(x) \}$$

If  $S = f(y)$  then  $y \in S$  if and only if  $y \notin S$



# How is it diagonalization?

<b>x</b>	$y_1 \in f(x)?$	$y_2 \in f(x)?$	$y_3 \in f(x)?$	$y_4 \in f(x)?$	...
<b>y<sub>1</sub></b>	<b>Y</b>	<b>N</b>	<b>Y</b>	<b>Y</b>	
<b>y<sub>2</sub></b>	<b>N</b>	<b>Y</b>	<b>N</b>	<b>Y</b>	
<b>y<sub>3</sub></b>	<b>N</b>	<b>N</b>	<b>N</b>	<b>N</b>	
<b>y<sub>4</sub></b>	<b>Y</b>	<b>N</b>	<b>N</b>	<b>Y</b>	
<b>⋮</b>					<b>⋮</b>

$$(y_i \in S) = Y \text{ iff } (y_i \in f(y_i)) = N$$



# EXAMPLE

Let  $L = \{0,1,2\}$ . Then  $P(L) =$   
 $\{\emptyset, \{0\}, \{1\}, \{2\}, \{0,1\}, \{0,2\}, \{1,2\}, \{0,1,2\}\}$

Let  $f(0) = \{1\}$ ,  $f(1) = \emptyset$ ,  $f(2) = \{0,2\}$ . Then:

x	$0 \in f(x)?$	$1 \in f(x)?$	$2 \in f(x)?$
0	N	Y	N
1	N	N	N
2	Y	N	Y

$$S = \{0,1\}$$

**For all sets  $L$ ,  
 $P(L)$  has more elements than  $L$**

# Not all languages over $\{0,1\}$ are decidable

**TM Deciders**

**Languages over  $\{0,1\}$**

**Strings of 0s and 1s**

**Sets of strings of  
0s and 1s**

**L**

**P(L)**

## Turing Machines

Strings of 0s and 1s

**L**

Languages over  $\{0,1\}$

Sets of strings of  
0s and 1s

**P(L)**

# A specific undecidable language

$$A_{\text{TM}} = \{ \langle M, w \rangle \mid M \text{ is a TM, } w \text{ is a string,} \\ \text{and } M \text{ accepts } w \}$$

# Theorem. $A_{TM}$ is undecidable.

**Proof:** For contradiction, suppose a TM  $H$  decides  $A_{TM}$ .

$$H(\langle M, w \rangle) = \begin{cases} \text{accept} & \text{if } M \text{ accepts } w \\ \text{reject} & \text{if } M \text{ doesn't accept } w \end{cases}$$

**Idea:** Use  $H$  to check what TM  $M$  does on its own description (and do the opposite).

**TM  $D$**  = “ On input  $\langle M \rangle$  where  $M$  is a TM:

1. Run  $H$  on input  $\langle M, \langle M \rangle \rangle$ .
2. **Accept** if it rejects. O.w. **reject**.”

**$D$  is a decider. What does it do on  $\langle D \rangle$ ?**



# Is it diagonalization again?

## Does $M$ accept $\langle M \rangle$ ?

TMs	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	...
$M_1$	Y	N	Y	Y	
$M_2$	N	Y	N	Y	
$M_3$	N	N	N	N	
$M_4$	Y	N	N	Y	
⋮					⋮

$D$  accepts  $\langle M_i \rangle$  iff entry  $(i, j)$  is **N**.

# Is it diagonalization again?

## Does $M$ accept $\langle M \rangle$ ?

TMs	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	...	$\langle D \rangle$	...
$M_1$	Y	N	Y	Y			
$M_2$	N	Y	N	Y			
$M_3$	N	N	N	N			
$M_4$	Y	N	N	Y			
⋮					⋮		
$D$						?	
⋮							⋮



# Classes of languages

