

# *Intro to Theory of Computation*

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CS  
464

## **LECTURE 3**

**Last time:**

- DFAs and NFAs
- Operations on languages

**Today:**

- Nondeterminism
- Equivalence of NFAs and DFAs
- Closure properties of regular languages

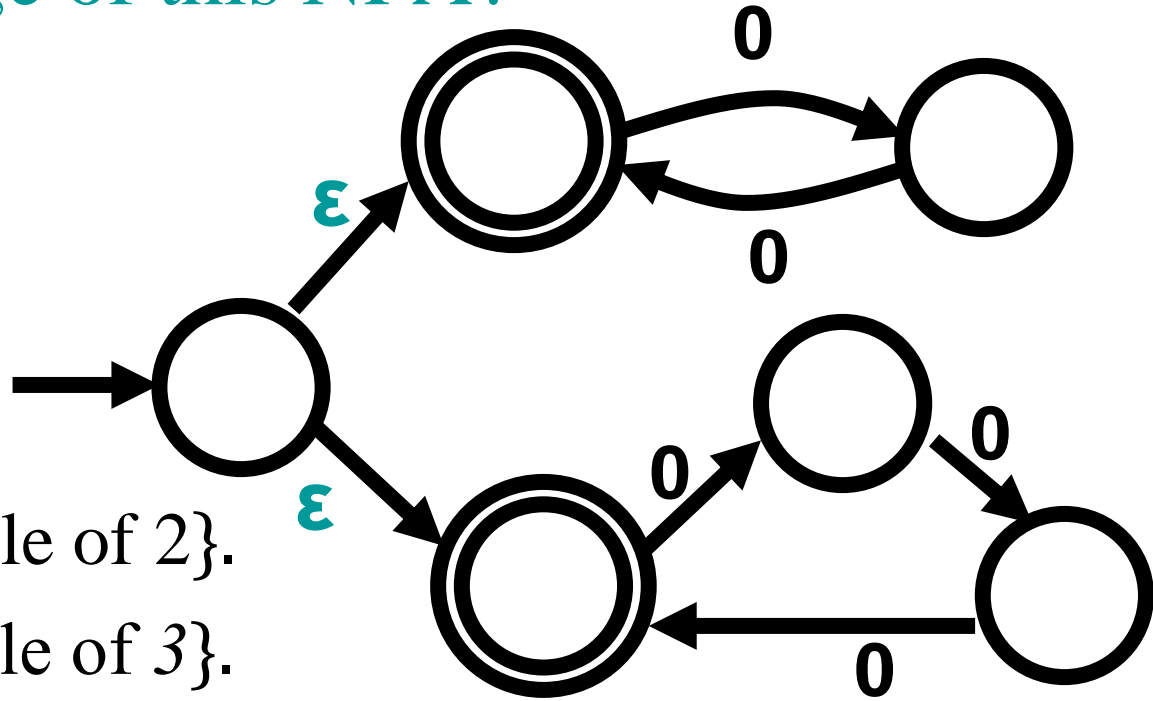
**Sofya Raskhodnikova**

**Nondeterministic Finite Automaton (NFA)**  
accepts a string  $w$  if there is a way to  
make it reach an accept state on input  $w$ .

## I-clicker problem (frequency: AC)

What is the language of this NFA?

( $0^k$  means  $\underbrace{00\dots0}_k$ )

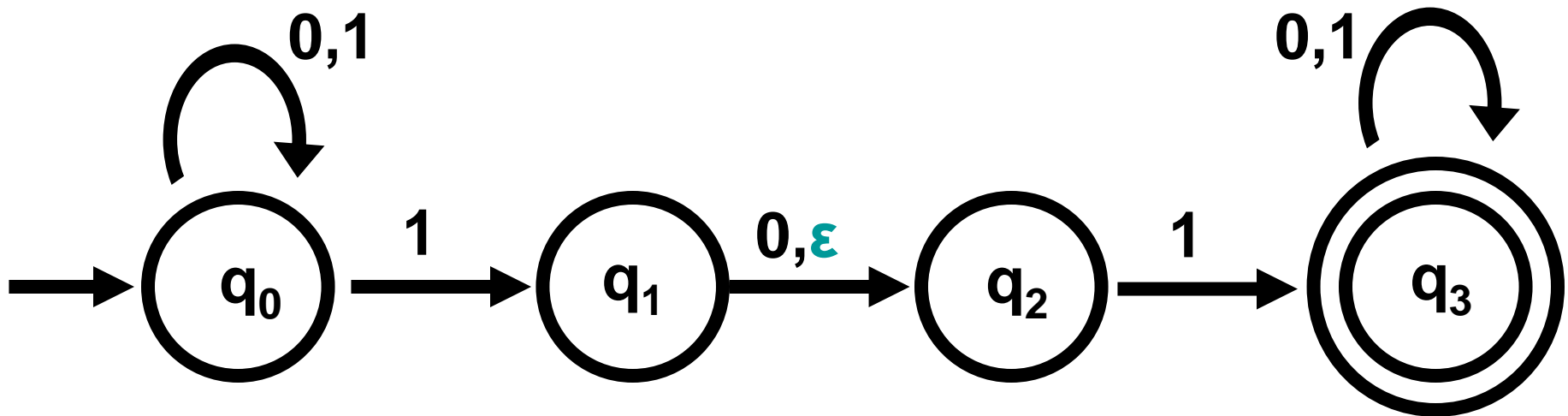


- A.  $\{0^k \mid k \text{ is a multiple of } 2\}$ .
- B.  $\{0^k \mid k \text{ is a multiple of } 3\}$ .
- C.  $\{0^k \mid k \text{ is a multiple of } 6\}$ .
- D.  $\{0^k \mid k \text{ is a multiple of } 2 \text{ or } 3\}$ .
- E. None of the above.

# Formal Definition

- An **NFA** is a 5-tuple  $\mathbf{M} = (\mathbf{Q}, \Sigma, \delta, \mathbf{q}_0, \mathbf{F})$ 
  - $\mathbf{Q}$  is the set of states
  - $\Sigma$  is the alphabet
  - $\delta : \mathbf{Q} \times \Sigma_{\epsilon} \rightarrow \mathbf{P}(\mathbf{Q})$  is the transition function
  - $\mathbf{q}_0 \in \mathbf{Q}$  is the start state
  - $\mathbf{F} \subseteq \mathbf{Q}$  is the set of accept states
- $\mathbf{P}(\mathbf{Q})$  is the set of subsets of  $\mathbf{Q}$  and  $\Sigma_{\epsilon} = \Sigma \cup \{\epsilon\}$
- $\mathbf{M}$  *accepts* a string  $\mathbf{w}$  if there is a path from  $\mathbf{q}_0$  to an accept state that  $\mathbf{w}$  follows.

## Example



$$N = (Q, \Sigma, \delta, q_0, F)$$

$$Q = \{q_0, q_1, q_2, q_3\}$$

$$\Sigma = \{0, 1\}$$

$$F = \{q_3\}$$

$$\delta(q_0, 0) = \{q_0\}$$

$$\delta(q_0, 1) = \{q_0, q_1\}$$

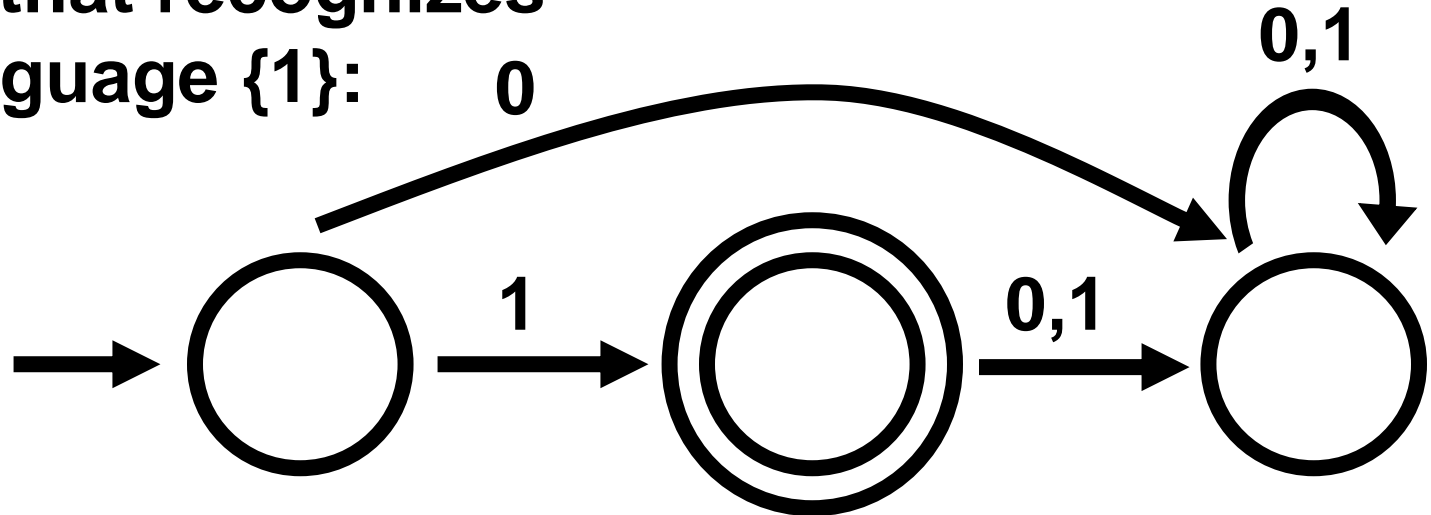
$$\delta(q_1, \epsilon) = \{q_1, q_2\}$$

$$\delta(q_2, 0) = \emptyset$$

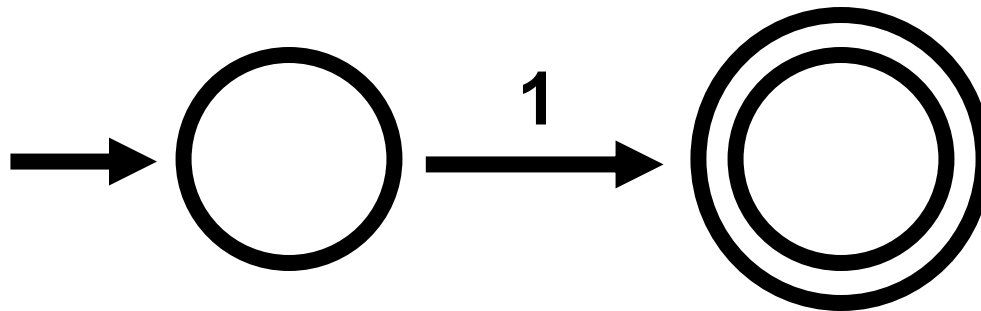


# NFAs ARE SIMPLER THAN DFAs

A DFA that recognizes the language  $\{1\}$ :



An NFA that recognizes the language  $\{1\}$ :



# A DFA recognizing $\{1\}$

**Theorem.** Every DFA for language  $\{1\}$  must have at least 3 states.

**Proof:**



# Equivalence of NFAs & DFAs

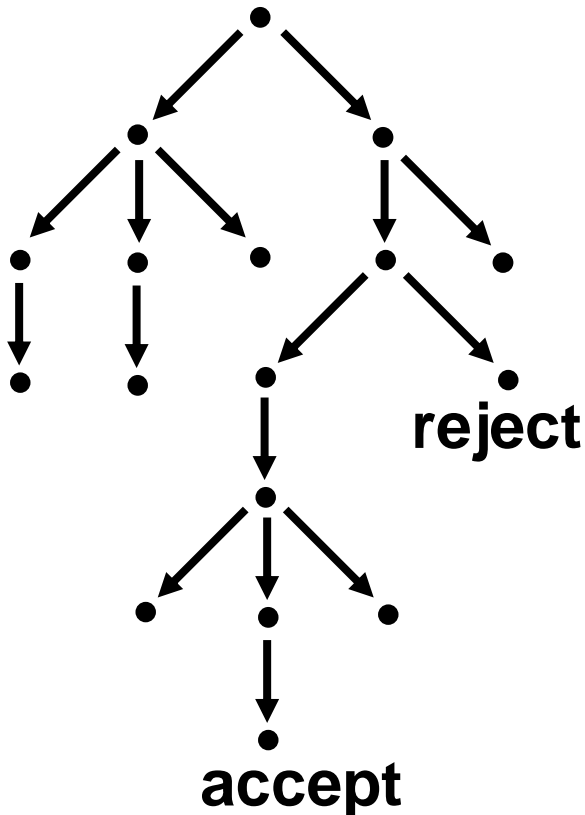
**Theorem.** Every NFA has an equivalent DFA.

**Corollary:** A language is regular iff it is recognized by an NFA.

# NFA to DFA Conversion

**Input:**  $N = (Q, \Sigma, \delta, q_0, F)$

**Output:**  $M = (Q', \Sigma, \delta', q_0', F')$



**Intuition:** Do the computation in parallel, maintaining the set of states where all threads are.

**Idea:**

$$Q' = P(Q)$$

# NFA to DFA Conversion

**Input:**  $N = (Q, \Sigma, \delta, q_0, F)$

**Output:**  $M = (Q', \Sigma, \delta', q_0', F')$

$$Q' = P(Q)$$

$$\delta' : Q' \times \Sigma \rightarrow Q'$$

For  $R \subseteq Q$ , let  $E(R)$  be the set of states reachable by  $\epsilon$ -transitions from the states in  $R$ .

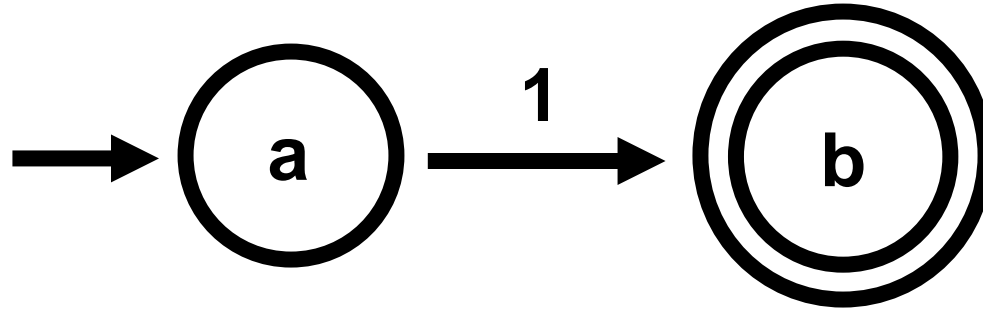
$$\delta'(R, \sigma) = \bigcup_{r \in R} E(\delta(r, \sigma)) \quad \text{for all } R \subseteq Q \text{ and } \sigma \in \Sigma.$$

$$q_0' = E(\{q_0\})$$

$$F' = \{ R \in Q' \mid R \text{ contains some accept state of } N \}$$

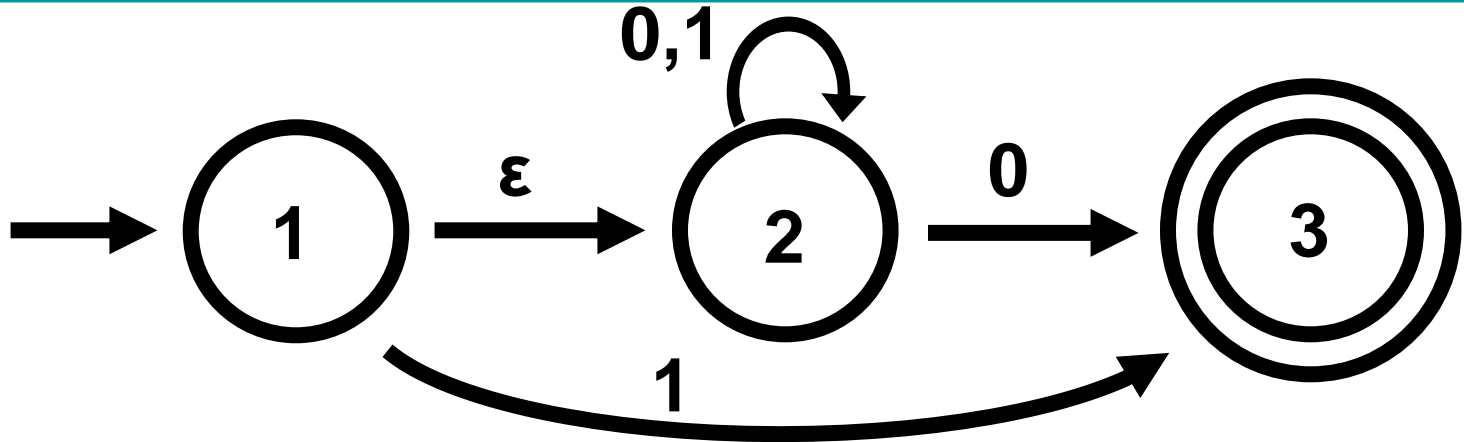
# Example: NFA to DFA

1)



# Examples NFA to DFA

2)



# Regular Operations on languages

**Complement:**  $\neg A = \{ w \mid w \notin A \}$

**Union:**  $A \cup B = \{ w \mid w \in A \text{ or } w \in B \}$

**Intersection:**  $A \cap B = \{ w \mid w \in A \text{ and } w \in B \}$

**Reverse:**  $A^R = \{ w_1 \dots w_k \mid w_k \dots w_1 \in A \}$

**Concatenation:**  $A \circ B = \{ vw \mid v \in A \text{ and } w \in B \}$

**Star:**  $A^* = \{ w_1 \dots w_k \mid k \geq 0 \text{ and each } w_i \in A \}$

**THEOREM.** The class of regular languages is **closed** under all 6 operations.

If  $A$  and  $B$  are regular, applying any of these operation yields a regular language.

# I-clicker problem (frequency: AC)

Let  $L$  be the set of words in English.

Then  $L \cap L^R$  is

- A. The set of English words in alphabetical order, followed the same words in reverse alphabetical order.
- B.  $\{w \mid w \text{ is an English word or an English word written backwards}\}$ .
- C.  $\{w \mid w \text{ is an English word that is a palindrome}\}$ .
- D. None of the above.



A **palindrome** is a word or a phrase that reads the same forward and backward.

## Examples

- mom
- madam
- Never odd or even.
- Stressed? No tips? Spit on desserts!

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